

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/780,222	02/09/2001	Andrew M. Schwarzbauer	38916/24384

021888
THOMPSON COBURN, LLP
ONE FIRSTAR PLAZA
SUITE 3500
ST LOUIS, MO 63101

CONFIRMATION NO. 5359

FORMALITIES LETTER



OC00000006303978

Date Mailed: 07/17/2001

NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

Filing Date Granted

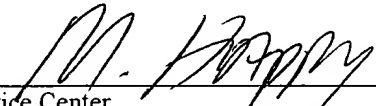
The U.S. Patent and Trademark Office has received your reply on 06/11/2001 to the Notice to File Missing Parts (Notice) mailed 05/18/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

- The signature of the following inventor(s) is missing from the oath or declaration:
Andrew M. Schwarzbauer

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center
Initial Patent Examination Division (703) 208-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



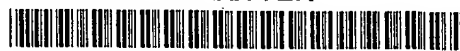
UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/780,222	02/09/2001	Andrew M. Schwarzbauer	38916/24384

CONFIRMATION NO. 5359

FORMALITIES LETTER



OC00000006091500

Thompson Coburn, LLP
One Firstar Plaza
St. Louis, MO 63101

Date Mailed: 05/18/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

Agent's Signature

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Schwarzbauer, Andrew

Docket No.

38916/24384

Serial No.

09/780,222

Filing Date

02/09/2001

Examiner

Group Art Unit

3722

Invention:

Apparatus and Method for Improved Business Form with Integrated Card

AUG 10 2001

PATENT & TRADEMARK OFFICE

I hereby certify that the following correspondence:

Response to Notice of Incomplete Reply with copies of Reply to Notice to File Missing Parts, Notice of Missing Parts, Oath and Declaration signed by Charles L. Casagrande, and a copy of Oath or Declaration properly signed by Andrew M. Schwarzbauer and postcard

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231

Aug. 10, 2001
(Date)

Robert C. Haldiman

(Typed or Printed Name of Person Mailing Correspondence)

(Signature of Person Mailing Correspondence)

EL 474 66065US

("Express Mail" Mailing Label Number)

Note: Each paper must have its own certificate of mailing.



08-13-01

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#7

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Schwarzbauer, Andrew	Group No.: 3722
Serial No.: 09/780,222	Atty. Docket No.: 38916/24384
Filed: 02/09/2001	
For: Apparatus and Method for Improved Business Form with Integrated Card	Examiner:

Commissioner of Patents and Trademarks
Washington, DC 20231

RESPONSE TO NOTICE OF INCOMPLETE REPLY

Status of File

On July 17, 2001 the Patent Office sent a Notice of Incomplete Reply (copy attached) because "the signature of the following inventor is missing from the Oath or Declaration: Andrew M. Schwarzbauer."

The reply referred to in the Notice of Incomplete Reply was filed on June 11, 2001 (copy attached). This reply was to a Notice to File Missing Parts sent on May 18, 2001 (copy attached). The reply included an Oath or Declaration responsive to the Notice for File Missing Parts citation that: "the Oath or Declaration is unsigned." The Oath and Declaration submitted with the reply to the Notice of Missing Parts (copy attached) contains the properly executed signature of one of two inventors, Mr. Charles L. Casagrande.



The original patent was filed with an Oath or Declaration properly signed by the other of the two inventors, Mr. Andrew M. Schwarzbauer (copy attached).

Remarks in Response to Notice of Incomplete Reply

In response to the most recent Notice, the Notice of Incomplete Reply mailed on July 17, 2001, Applicant respectfully submits that the properly executed signatures of both inventors are now on file. Andrew M. Schwarzbauer's properly executed Oath was filed with the original patent application on February 9, 2001. The only other inventor, Mr. Charles L. Casagrande, properly executed his Oath and Declaration and it was filed on June 11, 2001 in response to the Notice of Missing Parts citing the absence of Mr. Casagrande's signature.

"Where neither the original Oath or Declaration, nor the substitute Oath or Declaration is complete in itself, but the two taken together give all the required data, no further Oath or Declaration is needed." MPEP § 602.02.

Accordingly, Applicant respectfully submits that no further Oath or Declaration is needed and that all parts required for a formally complete application are already on file with the Patent Office and in proper order.

Applicant believes that no extensions of time under 37 C.F.R. 1.136(a) or fees for extension under 37 C.F.R. 1.17(a) are owed under the circumstances as spelled out above. If the Office believes that this is not the case, Applicant respectfully requests that this document be considered a request for extension of time for response to the Notice of Incomplete Reply, referencing the Notice of Missing Parts, whose due date



was on July 18, 2001 and, again if the Office disagrees with the Applicant's opinion that no extensions or fees are due, authorization is provisionally given to charge Applicant's counsel's deposit account, No. 20-0823, for the appropriate amount.

Respectfully submitted,

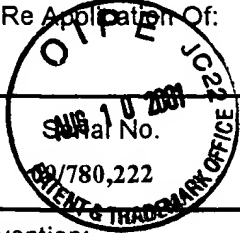
A handwritten signature in black ink, appearing to be "R. C. Haldiman".

Robert C. Haldiman, Reg. No. 45,437,651
Thompson Coburn LLP
One Mercantile Center
St. Louis, Missouri 63101
Telephone: 314-552-6351
Fax: 314-552-7351

Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Small Entity)

Docket No.
38916/24384

In Re Application Of: Charles Casagrande



Serial No.

Filing Date

Examiner

Group Art Unit

00/780,222

02/09/01

To Be Assigned

3722

Invention:

Apparatus and Method for Improved Business Form with Integrated Card

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on

5/18/01

Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☐ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☒ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☐ _____ verified small entity declaration(s)
 - ☐ is/are attached.
 - ☐ was/were filed on _____
- ☐ A separate request for refund.
- ☐ Other (list):



21888

PATENT TRADEMARK OFFICE

Action:

DOCKETED/T.C

Due Date:

By:

2d Check:

Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Small Entity)

Docket No.
38916/24384

In Re Application Of: Charles Casagrande

Serial No.
09/780,222

Filing Date
02/09/01

Examiner
To Be Assigned

Group Art Unit
3722

Invention:

Apparatus and Method for Improved Business Form with Integrated Card

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

☒ Completion of application fees as calculated below:

☐ Utility application filing fee

☐ Design application filing fee

☐ Total number of independent claims = _____

☐ Total number of claims = _____

☐ Multiple dependent claims

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath \$65.00

☐ Petition and fee for filing by other than all the inventors or a person not the inventor

☐ Fee for processing an application filed with a non-English language specification

☐ Fee for processing and retention of application

Total completion of application fees \$65.00

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five months

from: _____ Date _____ until: _____ Date _____

Total time extension fees _____

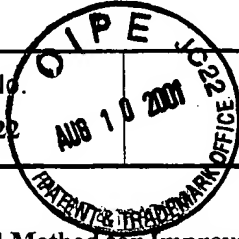
Total fees due \$65.00

Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Small Entity)

Docket No.
38916/24384

In Re Application Of: Charles Casagrande

Serial No.
09/780,222



Filing Date
02/09/01

Examiner
To Be Assigned

Group Art Unit
3722

Invention:
Apparatus and Method for Improved Business Form with Integrated Card

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

The fee of \$65.00 is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 20-0823
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 20-0823
A duplicate copy of this sheet is enclosed.

A declaration has been previously filed on May 8, 2001, before receipt of a Notice of Missing Parts. Applicant requests that the Deposit Account 20-0823 be charged \$65.00 for the appropriate surcharge. Applicant requests that the account not be charged twice.

Signature

Dated: 6/11/01

Robert C. Haldiman, Reg. No. 45,437
Thompson Coburn LLP
One Firststar Plaza
St. Louis, MO 63101
(314) 552-6351
(314) 552-7351 FAX
Customer No. 021888

I hereby certify that this document or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on 6/11/01 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231.

Robert C. Haldiman

(Typed or Printed Name of Person Mailing Correspondence)

(Signature of Person Mailing Correspondence)

EL474166198US
("Express Mail" Mailing Label Number)

cc: